IPE 15-0

Signature

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number 10/613,192 TRANSMITTAL July 3, 2003 Filing Date **FORM** First Named Inventor Inao Toyoda et al (to be used for all correspondence after initial filing) Art Unit 2811 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 4041P-00005/DVA ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form Drawing(s) Technology Center (TC) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences _ Petition Appeal Communication to TC Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Copies of Japanese Office Request for Refund Action dated 7/16/2004 with Express Abandonment Request English translation and cited CD, Number of CD(s) non-US references The Commissioner is hereby authorized to charge any additional Certified Copy of Priority Remarks fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Document(s) Account No. 08-0750. A duplicate copy of this sheet is enclosed. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Reg. No. Firm Attorney Name Harness, Dickey & Pierde, P.L.C H. Keith Miller, Esq. 22,484 Individual name Signature Date September 14, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Express Mail Typed or printed name H. Keith Miller, Esq EV 531 989 901 US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

September 14, 2004

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ppication No.:

10/613,192

Filing Date:

July 3, 2003

Applicant:

Inao Toyoda et al

Group Art Unit:

2811

Examiner:

Unknown

Title:

Photo Sensing Integrated Circuit Device And Related Circuit

Adjustment

Attorney Docket:

4041P-000005/DVA

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. COPIES

A Submitted herewith is a legible copy of (i) each U.S. patent application
publication and U.S. and foreign patent; (ii) each publication or that portion which caused
it to be listed; (iii) for each cited pending U.S. application, the application specification
including the claims, and any drawing of the application which caused it to be listed
including the claims directed to that portion; and (iv) all other information or that portion
which caused it to be listed

B. ____ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C. X Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i).

are enclosed herewith. D. This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g)) III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) A. Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required). B. X A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)): 1. X See the attached foreign patent office communication from a counterpart foreign application: Office Action dated July 16, 2004 in corresponding Japanese Patent Application No. H09-092481, with English translation thereof. 2. X English translations of the abstracts are provided for the Japanese references. 3. Other: C. ____The following additional information is provided for the Examiner's consideration. IV. CROSS REFERENCE TO RELATED APPLICATION(S) A. _ The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122. Serial No. Filing Date Art Unit ٧. THIS IDS IS BEING FILED UNDER A. X 37 C.F.R. § 1.97(b): (check only one box) 1. within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.

Any foreign patent documents or non-patent literature listed on the attached Form 1449

Serial No.: to be assigned

		1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
		4 before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.
	В	_37 C.F.R. § 1.97(c): (check <u>only</u> one box)
		before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113 a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.
		1No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
		2See the certification below. No fee is required.
	C	_37 C.F.R. § 1.97(d):
		after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
		1See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
VI.	CERT	IFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The ur	ndersigned hereby certifies that:
	from a month	each item of information contained in this IDS was first cited in a communication of foreign patent office in a counterpart foreign application not more than three is prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement 37 C.F. R. 1.704(d) below in section VII, if applicable; or
	unders IDS w	_ no item of information contained in this IDS was cited in a communication from a patent office in a counterpart foreign application, and, to the knowledge of the signed after making reasonable inquiry, no item of information contained in this as known to any individual designated in 37 C.F.R. § 1.56(c) more than three is prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	item o patent filing o no iten	Some of the items of information were first cited in a communication from a patent office. As to this information, the undersigned hereby certifies that each f information contained in this IDS was cited in a communication from a foreign office in a counterpart foreign application not more than three months prior to the f this IDS. As to the remaining information, the undersigned hereby certifies that n of this remaining information contained in this IDS was cited in a communication foreign patent office in a counterpart foreign application, and to the knowledge of

Serial No.: to be assigned

the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box)

A. ____ A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. ____Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

By:

Respectfully submitted,

H. Keith Miller, Esa.

Reg. No. 22,484

Dated: September 14, 2004

HKM/rf

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

Serial No.: to be assigned

Page 4



ØRM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

SERIAL NO.	
10/613,192	
GROUP	
2811	

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
						-

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	
1.		62-69672	3/1987	Japan		Abstract	
2.		6-104476	4/1994	Japan		Abstract	
3.		4-75371	3/1992	Japan		Abstract	
4.		60-45061	3/1985	Japan		Abstract	
5.		61-111161	7/1986	Japan		Abstract	
6.		7-211510	8/1995	Japan		Abstract	
7.		5-291507	11/1993	Japan		Abstract	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials			
1.		Office Action dated July 16, 2004 in corresponding Japanese Application No. H09-092481 with English translation		

Examiner:	Date Considered: